019916-001210ÙS

Docket Number (Optional)

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

In re Application of Empedocles, et al. Application Number 09/784,645 Filed February 15, 2001 MICROARRAY METHODS UTILIZING SEMICONDUCTOR **NANOCRYSTALS** Group Art Unit Examiner 1655 Forman, Betty J.

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

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The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

		\$110
	☐ Two months (37 CFR 1.17(a)(2))	\$
	☐ Three months (37 CFR 1.17(a)(3))	\$
	Four months (37 CFR 1.17(a)(4))	\$
	Five months (37 CFR 1.17(a)(5))	\$
	Applicant claims small entity status. See 37 CFR 1.27. Therefore, above is reduced by one-half, and the resulting fee is: \$ 55. A check in the amount of the fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Commissioner has already been authorized to charge fees in tapplication to a Deposit Account. The Commissioner is hereby authorized to charge any fees which ror credit any overpayment, to Deposit Account Number 20-1430. I have enclosed a duplicate copy of this sheet.	his
am th	e ☐ applicant/inventor.	
	assignee of record of the entire interest. See 37 CFR 3.71	
	Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SE	3/96).
	☑ attorney or agent of record.	
	attorney or agent under 37 CFR 1.34(a).	
	Registration number if acting under 37 CFR 1.34(a)	
WAR	NING: Information on this form may become public. Credit card	l information should

be included on this form. Provide credit card information and authorization on PTO-2038.

January 2, 2002 Date

19794945

Signature

Scott L. Ausenhus, Reg. No. 42,271

Typed or printed name

08/12/2000 JADBO 01 FC:215

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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January 2, 2002

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Date

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TRANSMITTAL FORM (to be used for all correspondence after initial filling) Total Number of Pages in This Submission Total Number of Pages in This Submission ENCLOSURES (check all that apply) ENCLOSURES (check all that apply) ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers (for an Application) Fee Attached Drawing(s) Amendment / Response After Final After Final After Final After Final Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Express Abandonment Request First Named Inventor Empedocles, Stephen A. 4 20 4 20 FEB 1 4 20 FEB 1 4 20 After Allowance Communication to Group After Allowance Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeal Notice, Brief, Reply Brief) Proprietary Information Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Postcard Response to Restriction Requirement								
First Named Inventor Empedocles, Stephen A.								
Examiner Name Forman, Betty J. TECH CENTER 160								
Total Number of Pages in This Submission 1 Attorney Docket Number 019916-001210US ENCLOSURES (check all that apply)								
Attorney Docket Number 019916-001210US								
☑ Fee Transmittal Form ☐ Assignment Papers (for an Application) ☐ After Allowance Communication to Group ☐ Fee Attached ☐ Drawing(s) ☐ Appeal Communication to Board of Appeals and Interferences ☐ Amendment / Response ☐ Licensing-related Papers ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ After Final ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition ☐ Proprietary Information ☐ Affidavits/declaration(s) ☐ Petition to Convert to a Provisional Application ☐ Status Letter ☑ Status Letter ☐ Other Enclosure(s) (please identify below): ☐ Express Abandonment Request ☐ Terminal Disclaimer Return Postcard								
Fee Attached Drawing(s)								
☐ Fee Attached ☐ Drawing(s) Appeals and Interferences ☐ Amendment / Response ☐ Licensing-related Papers ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ After Final ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition ☐ Proprietary Information ☐ Affidavits/declaration(s) ☐ Petition to Convert to a Provisional Application ☐ Status Letter ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Other Enclosure(s) (please identify below): ☐ Terminal Disclaimer Return Postcard								
and Accompanying Petition ☐ Affidavits/declaration(s) ☐ Petition to Convert to a Provisional Application ☐ Status Letter ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Other Enclosure(s) (please identify below): ☐ Terminal Disclaimer ☐ Express Abandonment Request ☐ Return Postcard								
☐ Affidavits/declaration(s) Provisional Application ☐ Status Letter ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Other Enclosure(s) (please identify below): ☐ Terminal Disclaimer Return Postcard								
Extension of Time Request Change of Correspondence Address (please identily below): Terminal Disclaimer Return Postcard								
Express Abandonment Request								
Response to Restriction Requirement								
☐ Information Disclosure Statement ☐ CD, Number of CD(s)								
Certified Copy of Priority Document(s) The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.								
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Townsend and Townsend and Crew LLP								
and Scott L. Ausenhus Reg. No. 42,271								
Signature Sott Que								
Date January 2, 2002								
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first								

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DE 7057765 v1

Signature

Typed or printed name

Maisie C. Livengood



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Application Number 09/784,645 RECEIVED

Filling Date February 15, 2001

First Named Inventor Empedocles, Stephen A.

Examiner Name Forman, Betty J.

Group Art Unit 1655

Attorney Docket No. 019916-001210US

METHOD OF PAYMENT				FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge					3. ADDITIONAL FEES					
Deposit	indicated t	fees and credit any o	er payments to:	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Account	20-143	30		105	130	205	65	Surcharge - late filing fee or oath		
Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account	Townsend	and Townsend and	^rewIIP	139	130	139	130	Non-English specification		
Name	Townsend	and rownsend and	Siew LLi	147	2,520	147	2,520	For filing a request for reexamination		
Charge Ar Under 37	ny Additional F			112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	Requesting publication of SIR after Examiner action		
2. Payme	nt Enclosed	:		115	110	215	55	Extension for reply within first month	55	
☐ Check	☐ Credit o		☐ Other	116	400	216	200	Extension for reply within second month		
Order				117	920	217	460	Extension for reply within third month		
FEE CALCULATION						Extension for reply within fourth month				
Large Entity		tv		128	1,960	228	980	Extension for reply within fifth month		
Fee Fee	Fee Fee	•		119	320	219	160	Notice of Appeal		
Code (\$)	Code (\$)		Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101 740	201 370			121	280	221	140	Request for oral hearing		
106 330 107 510	206 165 207 255			138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740	208 370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable		
114 160	214 80	Provisional filing	fee	141	1,280	241	640	Petition to revive – unintentional		
				142	1,280	242	640	Utility issue fee (or reissue)		
	SUBTO	OTAL (1)	(\$)	143	460	243	230	Design issue fee		
2. EXTRA CLAI	W FEES			144	620	244	310	Plant issue fee		
		Extra Fee	from Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims	-20**	Claims bel	ow Paid	123	50	123	50	Petitions related to provisional applications		
Independent Claims	-3**	= X	=	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent		×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Entity		intity Fee 5 Dint		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$) 103 18	Code ((\$) Fee Descript Claims in exc		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
102 84			laims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104 280	204	140 Multiple depe	ndent claim, if not paid	i				·		
109 84	209	42 ** Reissue ind original paten	ependent claims over	169	900	169	900	Request for expedited examination of a design application		
110 18	210	e ** Reissue cla over original p	ims in excess of 20 and atent	ı	ee (specif			11. 11. 11. 11. 11. 11. 11. 11. 11. 11.		
		SUBTOTAL (2)	(\$)		ommissio ove noted			ed to charge any additional fees to unt.		
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)55										

SUBMITTED BY		Co	Complete (if applicable)		
Name (Print/Type)	Scott L. Ausenhus	Registration No. (Attorney/Agent)	42,271	Telephone	303-571-4000
Signature	Cosett (California -		Date	January 2, 2002

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